







### Towards ... the Joint Summer School on Public Policy 14 December 2022

# POLICY ANALYTICAL CAPACITIES AND POLICY WORK IN GREECE, ITALY, PORTUGAL AND SPAIN The first results of a comparative survey

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#### Focus of the Survey

- High civil servants in Greece, Italy, Portugal and Spain
- what they really do, especially in terms of all the activities through which policy problems are framed, information and knowledge are collected and analysed, and stakeholders are contacted
- Policy analytical capacities: 'the set of skills and resources—or competences and capabilities—necessary to perform policy functions'
- Skills and competences can be analytical, operational or political, while resources/capabilities are embedded at the individual, organisational and systemic levels
- Policy work

### Types of data gathered

- general information about oneself and his/her job
- background education and current activities
- knowledge and skills
- assessment of some characteristics of the job and of the administration

#### Respondents

• We submitted the online questionnaire to all the central high servants of the 4 countries

| Country  | Period                    | Invitations sent | Complete questionnaires | Response rate |
|----------|---------------------------|------------------|-------------------------|---------------|
| Greece   | December '21-February '22 | 998              | 116                     | 11.6%         |
| Italy    | November '21-January '22  | 1,858            | 498                     | 26.8%         |
| Portugal | February-April '22        | 1,292            | 81                      | 6.3%          |
| Spain    | December '21-April '22    | 904              | 319                     | 35.3%         |
| Total    | November '21–April '22    | 5,052            | 1,014                   | 20.1%         |

### High public servants: who they are (1)

|                             |       | Gr  | eece  | It  | aly   | Por | tugal | Sp  | ain         |
|-----------------------------|-------|-----|-------|-----|-------|-----|-------|-----|-------------|
| Year of start               |       | N   | %     | N   | %     | N   | %     | N   | %           |
| Prior '90s                  |       | 43  | 37.4  | 30  | 6.0   | 1   | 1.2   | 7   | 2.6         |
| 1991-2000                   |       | 34  | 29.6  | 114 | 22.9  | 11  | 13.6  | 16  | 5.8         |
| 2001-2010                   |       | 30  | 26.1  | 191 | 38.4  | 18  | 22.2  | 35  | 12.8        |
| 2011-2020                   |       | 7   | 6.1   | 146 | 29.4  | 47  | 58.0  | 164 | <b>59.9</b> |
| 2021                        |       | 1   | 0.9   | 16  | 3.2   | 4   | 4.9   | 52  | 19.0        |
|                             | Total | 115 | 100   | 497 | 100   | 81  | 100   | 274 | 100         |
| Previous work               |       | N   | %     | N   | %     | N   | %     | N   | %           |
| Private sector              |       | 56  | 48.3  | 133 | 26.7  | 17  | 21.0  | 98  | 30.7        |
| University                  |       | 19  | 16.4  | 75  | 15.1  | 10  | 12.3  | 35  | 11.0        |
| Local administration        |       | 7   | 6.0   | 87  | 17.5  | 10  | 12.3  | 40  | 12.5        |
| <b>State administration</b> |       | 25  | 21.6  | 234 | 47.0  | 33  | 40.7  | 225 | 70.5        |
| EU administration           |       | 1   | 0.9   | 19  | 3.8   | 2   | 2.5   | 10  | 3.1         |
| Other                       |       | 9   | 7.7   | 49  | 9.8   | 10  | 12.3  | 18  | 5.6         |
| No previous work            |       | 30  | 25.9  | 109 | 21.9  | 27  | 33.3  | N/A | N/A         |
|                             | Total | 116 | 100.9 | 498 | 119.9 | 81  | 101.2 | 319 | 133.5       |

### High public servants: who they are (2)

|                          |       | Gr  | eece        | Ite | aly  | Por | tugal | Sp  | ain  |
|--------------------------|-------|-----|-------------|-----|------|-----|-------|-----|------|
| Education degree         |       | N   | %           | N   | %    | N   | %     | N   | %    |
| Secondary education      |       | 0   | 0.0         | 0   | 0.0  | 0   | 0.0   | 0   | 0.0  |
| Tertiary education       |       | 85  | 73.3        | 416 | 83.5 | 74  | 91.4  | 280 | 88.3 |
| PhD                      |       | 31  | 26.7        | 82  | 16.5 | 7   | 8.6   | 37  | 11.7 |
|                          | Total | 116 | 100         | 498 | 100  | 81  | 100   | 317 | 100  |
| Area of expertise        |       | N   | %           | N   | %    | N   | %     | N   | %    |
| Sciences                 |       | 15  | 13.2        | 15  | 3.0  | 5   | 6.2   | 23  | 7.3  |
| Medicine and others      |       | 9   | 7.9         | 20  | 4.0  | 28  | 35.0  | 19  | 6.0  |
| Engineering/architecture |       | 17  | 14.9        | 46  | 9.2  | 4   | 5.0   | 68  | 21.6 |
| Economy                  |       | 19  | 16.7        | 142 | 28.5 | 8   | 10.0  | 45  | 14.3 |
| Law                      |       | 11  | 9.6         | 194 | 39.0 | 12  | 15.0  | 123 | 39.1 |
| Other social sciences    |       | 19  | 16.7        | 69  | 13.9 | 6   | 7.5   | 24  | 7.6  |
| Humanities               |       | 24  | 21.1        | 12  | 2.4  | 17  | 21.3  | 13  | 4.1  |
|                          | Total | 114 | 100         | 498 | 100  | 80  | 100   | 315 | 100  |
| Gender                   |       | N   | %           | N   | %    | N   | %     | N   | %    |
| Male                     |       | 50  | 45.0        | 278 | 55.8 | 41  | 56.9  | 199 | 62.2 |
| Female                   |       | 61  | <b>55.0</b> | 193 | 44.2 | 31  | 43.1  | 121 | 37.8 |
|                          | Total | 111 | 100         | 471 | 100  | 72  | 100   | 320 | 100  |

### High public servants: what they do (1) Activities concerning the policy cycle

|                 |     | Greec | e    |     | Italy |      | ,  | Portuga | ıl   |     | Spain | ı    |
|-----------------|-----|-------|------|-----|-------|------|----|---------|------|-----|-------|------|
|                 | N   | S.D.  | Mean | N   | S.D.  | Mean | N  | S.D.    | Mean | N   | S.D.  | Mean |
| Agenda          | 112 | 1.01  | 3.93 | 491 | 1.49  | 2.50 | 81 | 1.44    | 2.67 | 303 | 1.44  | 3.00 |
| Formulation     | 111 | 1.02  | 3.93 | 486 | 1.40  | 3.62 | 81 | 1.18    | 2.17 | 309 | 1.23  | 3.70 |
| Decision-making | 115 | 0.98  | 3.14 | 494 | 1.38  | 3.53 | 81 | 1.45    | 2.89 | 309 | 1.35  | 3.75 |
| Implementation  | 113 | 1.04  | 3.03 | 493 | 1.41  | 3.84 | 81 | 1.51    | 3.02 | 310 | 1.42  | 3.86 |
| Evaluation      | 110 | 1.05  | 3.69 | 492 | 1.38  | 3.07 | 81 | 1.53    | 2.98 | 307 | 1.43  | 3.27 |

High public servants: what they do (2) Frequency of meetings with relevant actors

|                                       |     | Greec | re   |                  | Italy |      |    | Portuga | l    |     | Spain | l    |
|---------------------------------------|-----|-------|------|------------------|-------|------|----|---------|------|-----|-------|------|
|                                       | N   | S.D.  | Mean | N                | S.D.  | Mean | N  | S.D.    | Mean | N   | S.D.  | Mean |
| Stakeholders                          | 116 | 1.20  | 2.29 | 498              | 1.37  | 2.73 | 81 | 1.06    | 2.31 | 316 | 1.17  | 2.41 |
| MPs or party executives               | 115 | 0.86  | 1.43 | 496              | 0.81  | 1.41 | 81 | 0.52    | 1.14 | 316 | 0.85  | 1.53 |
| EU/other<br>States/IOs<br>bureaucrats | 115 | 0.90  | 1.76 | 497              | 0.97  | 1.69 | 81 | 0.78    | 1.54 | 317 | 1.08  | 2.19 |
| Minister                              | 116 | 1.01  | 2.46 | 497              | 1.25  | 2.03 | 81 | 0.74    | 1.43 | 316 | 1.03  | 3.43 |
| Other<br>bureaucrats                  | 115 | 1.13  | 2.60 | <mark>498</mark> | 1.23  | 3.13 | 81 | 0.88    | 2.15 | 318 | 1.17  | 2.81 |

## High public servants: what they do (3) Specific activities (1)

|  |     | Greece | e    |     | Italy |      |    | Portugal | ·    |     | Spain |      |
|--|-----|--------|------|-----|-------|------|----|----------|------|-----|-------|------|
|  | N   | S.D.   | Mean | N   | S.D.  | Mean | N  | S.D.     | Mean | N   | S.D.  | Mean |
| Managing<br>personnel  | 116 | 0.56   | 4.86 | 497 | 1.13  | 4.51 | 81 | 0.37     | 4.94 | 318 | 0.96  | 4.51 |
| Examining the timing and feasibility of public policies                | 113 | 1.39   | 3.27 | 495 | 1.50  | 3.17 | 81 | 1.34     | 2.62 | 307 | 1.33  | 3.64 |
| Examining the legitimacy of different policy solutions                 | 116 | 1.39   | 3.59 | 497 | 1.32  | 3.79 | 81 | 1.46     | 2.83 | 289 | 1.51  | 3.05 |
| Hypothesizing the political risks associated with each policy solution | 115 | 1.49   | 2.83 | 497 | 1.49  | 2.58 | 81 | 1.27     | 2.12 | 297 | 1.51  | 2.53 |

## High public servants: what they do (4) Specific activities (2)

|                         |     | Greece | 2    |     | Italy |      |    | Portugal |             |     | Spain |      |
|-------------------------|-----|--------|------|-----|-------|------|----|----------|-------------|-----|-------|------|
|                         | N   | S.D.   | Mean | N   | S.D.  | Mean | N  | S.D.     | Mean        | N   | S.D.  | Mean |
| Providing opinions on   | 114 | 1.27   | 2.14 | 497 | 1.35  | 2.39 | 81 | 1.21     | <b>1.79</b> | 314 | 1.37  | 3.05 |
| the financial           |     |        |      |     |       |      |    |          |             |     |       |      |
| feasibility of          |     |        |      |     |       |      |    |          |             |     |       |      |
| Administration's        |     |        |      |     |       |      |    |          |             |     |       |      |
| programs                |     |        |      |     |       |      |    |          |             |     |       |      |
| Providing information   | 115 | 1.21   | 2.75 | 498 | 1.32  | 2.99 | 81 | 1.16     | 2.80        | 310 | 1.20  | 3.63 |
| and data to support     |     |        |      |     |       |      |    |          |             |     |       |      |
| public policies         |     |        |      |     |       |      |    |          |             |     |       |      |
|                         |     |        |      |     |       |      |    |          |             |     |       |      |
| Assisting the political | 114 | 1.25   | 2.44 | 498 | 1.31  | 2.13 | 81 | 1.01     | <b>1.74</b> | 313 | 1.24  | 2.41 |
| leadership in           |     |        |      |     |       |      |    |          |             |     |       |      |
| interacting with the    |     |        |      |     |       |      |    |          |             |     |       |      |
| Parliament              |     |        |      |     |       |      |    |          |             |     |       |      |
| Initiating              | 115 | 1.05   | 1.77 | 495 | 0.61  | 1.28 | 81 | 0.94     | 1.57        | 305 | 1.03  | 1.65 |
| consultations with      |     |        |      |     |       |      |    |          |             |     |       |      |
| citizens                |     |        |      |     |       |      |    |          |             |     |       |      |

### High public servants: type of information used

|                            |     | Greec | re   |     | Italy |      |    | Portuga | l    | Spain |      |      |
|----------------------------|-----|-------|------|-----|-------|------|----|---------|------|-------|------|------|
|                            | N   | S.D.  | Mean | N   | S.D.  | Mean | N  | S.D.    | Mean | N     | S.D. | Mean |
| Survey data                | 116 | 0.47  | 1.21 | 497 | 0.84  | 1.48 | 81 | 1.00    | 2.07 | 312   | 1.15 | 1.88 |
| Statistical data           | 116 | 1.04  | 2.04 | 498 | 1.17  | 2.40 | 81 | 1.06    | 2.69 | 314   | 1.32 | 3.24 |
| Monitoring data            | 116 | 1.20  | 2.18 | 498 | 1.22  | 2.93 | 81 | 1.29    | 2.99 | 304   | 1.33 | 3.43 |
| Program<br>evaluation data | 116 | 0.70  | 1.77 | 497 | 1.14  | 2.38 | 81 | 1.12    | 2.31 | 309   | 1.18 | 2.41 |
| Expert opinions            | 116 | 0.91  | 1.85 | 494 | 1.17  | 2.33 | 81 | 0.97    | 2.12 | 314   | 1.35 | 2.86 |
| Legal opinions             | 116 | 1.11  | 2.37 | 497 | 1.13  | 2.42 | 81 | 0.93    | 2.33 | 317   | 1.05 | 3.84 |

### High public servants: sources of information

|  |                  | Greece | 2    |                  | Italy |      |    | Portugal |      |     | Spain |      |
|--|------------------|--------|------|------------------|-------|------|----|----------|------|-----|-------|------|
|  | N                | S.D.   | Mean | N                | S.D.  | Mean | N  | S.D.     | Mean | N   | S.D.  | Mean |
| Government                                     | <mark>116</mark> | 1.23   | 3.93 | <mark>498</mark> | 1.19  | 3.98 | 81 | 1.28     | 3.17 | 315 | 0.77  | 4.54 |
| <mark>documents</mark>                         |                  |        |      |                  |       |      |    |          |      |     |       |      |
| EU documents                                   | 116              | 1.33   | 2.96 | 498              | 1.34  | 3.00 | 81 | 1.25     | 2.70 | 315 | 1.19  | 3.57 |
| IOs documents                                  | 116              | 1.20   | 2.47 | 497              | 1.28  | 2.39 | 81 | 1.07     | 2.05 | 313 | 1.31  | 2.79 |
| Parliamentary<br>dossiers                      | 116              | 1.03   | 1.84 | 498              | 1.21  | 2.42 | 81 | 0.62     | 1.23 | 310 | 1.27  | 2.42 |
| National Bank and other authorities' documents | 116              | 0.72   | 1.53 | 496              | 1.00  | 1.98 | 81 | 0.61     | 1.33 | 311 | 1.12  | 2.17 |
| Social partners or representative associations | 116              | 0.81   | 1.75 | 498              | 0.95  | 1.96 | 81 | 0.84     | 1.88 | 310 | 1.23  | 2.26 |
| Case law                                       | 116              | 1.36   | 3.15 | 498              | 1.35  | 3.32 | 81 | 1.14     | 2.09 | 312 | 1.35  | 2.75 |

### High public servants: their competences (1)

|                                   |     | Greec | re   |     | Italy |      |    | Portuga | l    |     | Spain |      |
|-----------------------------------|-----|-------|------|-----|-------|------|----|---------|------|-----|-------|------|
|                                   | N   | S.D.  | Mean | N   | S.D.  | Mean | N  | S.D.    | Mean | N   | S.D.  | Mean |
| Online<br>consultations           | 115 | 2.15  | 7.04 | 498 | 3.20  | 6.00 | 81 | 1.86    | 8.94 | 315 | 2.56  | 7.79 |
| Legal analyses                    | 116 | 2.64  | 5.77 | 498 | 2.47  | 7.45 | 81 | 2.29    | 7.07 | 317 | 1.95  | 8.32 |
| Statistical<br>analyses           | 116 | 2.60  | 5.47 | 498 | 2.55  | 5.63 | 81 | 2.52    | 6.43 | 310 | 2.31  | 7.05 |
| Economic analyses                 | 116 | 2.56  | 5.32 | 498 | 2.61  | 5.87 | 81 | 2.89    | 5.79 | 307 | 2.43  | 6.42 |
| Accounting-<br>financial analyses | 116 | 2.69  | 4.58 | 498 | 2.77  | 6.32 | 81 | 2.80    | 5.35 | 312 | 2.78  | 6.02 |

### High public servants: their competences (2)

|                                      |     | Greec | re   |     | Italy |      |    | Portuga | l    | Spain |      |      |  |
|--------------------------------------|-----|-------|------|-----|-------|------|----|---------|------|-------|------|------|--|
|                                      | N   | S.D.  | Mean | N   | S.D.  | Mean | N  | S.D.    | Mean | N     | S.D. | Mean |  |
| Organizational analyses              | 116 | 2.40  | 6.19 | 498 | 2.30  | 6.92 | 81 | 2.53    | 6.52 | 312   | 2.11 | 7.37 |  |
| Scenario analyses                    | 116 | 2.57  | 5.84 | 498 | 4.97  | 6.22 | 81 | 2.88    | 5.89 | 305   | 2.55 | 7.12 |  |
| Analysis of the impact of regulation | 116 | 2.59  | 5.89 | 498 | 2.70  | 5.16 | 81 | 2.80    | 5.57 | 307   | 2.15 | 7.20 |  |
| Dossiers, memos, policy reports      | 116 | 2.62  | 6.41 | 497 | 2.74  | 7.28 | 81 | 3.02    | 5.95 | 316   | 0.84 | 9.53 |  |
| Experimental design techniques       | 115 | 2.68  | 4.06 | 498 | 2.23  | 2.42 | 81 | 3.07    | 3.51 | 305   | 2.68 | 4.07 |  |

### Discussion (1)

- policy work is very differentiated among the four countries, and these differences could depend on the *organisational design of public institutions*, their relationship with the political system and with institutionalised praxis that have partially changed the common foundational administrative framework in the last few decades of reforms.
- Spain emerges as holding a better stock of analytical capacities

### Discussion (2)

- The educational background of the respondents does not look to be relevant, contrary to what is often expected.
- Some differences are particularly interesting, for example:
- i. the high propension of Spanish servants to conduct assessment feasibility and of Portuguese servants to underwork on legitimacy assessments
- ii. the Greek propensity to work in framing problems
- iii. A high propensity of Italians to focus on formulation

#### We need

- A better knowledge of bureaucratic policy work in the OSF
- A more detailed analysis of what are the analytical capacities that OSF have in their different administrative branches
- A common framework for increasing similarities and complementarities of analytical capacities among the administrations of OSF
- More exchange of experiences and evidence-based practices for improving policy analytical capacities

Thanks a lot for your attention
Gracias por tu atención
Agradecimentos para sua atenção
Ευχαριστώ που με ακούσατε!
Grazie per l'attenzione